IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Joshua Susser et al.

SERIAL NO.:

UNASSIGNED

FILING DATE:

Herewith

TITLE:

TECHNIQUES FOR PERMITTING ACCESS ACROSS A

CONTEXT BARRIER ON A SMALL FOOTPRINT DEVICE

USING AN ENTRY POINT DEVICE

EXAMINER:

UNASSIGNED

ART UNIT:

UNASSIGNED

CERTIFICATE OF EXPRESS MAILING

I heroby certify that this paper is being deposited with the United States Postal Service as Express Mail

Order 10.3 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-

1450, on the date printed below:

Date: LLOT

Name

Lola Salaza

Mail Stop No Fee Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited was submitted in Application No. 09/235,157 upon which is based a claim for priority under 35 U.S.C. § 120. The Examiner is requested to make these items official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

Copies of the documents listed in the attached Form 1449 were previously submitted in prior application Serial No. 09/235,157, filed January 22, 1999, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. In accordance with 37 C.F. R. 1.98(d), copies of the listed documents are not included.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be

treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: 9/9/0

John P. Schaub Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 (408) 287-8040



INFORMATION DISCLOSURE ATTY, DOCKET NO. SERIAL NO. UNASSIENED : Sun- P3709 CNT CITATION IN AN **APPLICATION APPLICANT** Joshua SUSSER, et al. FILING DATE **GROUP** (PTO-1449) 9/8/03 U.S. PATENT DOCUMENTS **EXAMINER'S** FILING DATE PATENT NO. CLASS DATE NAME **SUBCLASS** INITIALS 5,781,723 July 14, Yee et al. 1998 Simon 5,768,385 June 16, 1998 5,721,781 Feb. 24, Deo et al. 1998 5,742,756 April 21, Dillaway et al. 1998 5,594,227 Jan. 14, Deo 1997 FOREIGN PATENT DOCUMENTS **EXAMINER'S** DATE **CLASS SUBCLASS INITIALS** PATENT NO. COUNTRY Yes OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER**

Form PT (Rev. 2-	-	U.S. Department			Atty. D SUN-P	ocket No. 3709 CNT	Serial No	SIGNEI	>
		Patent and Tradem			Applica	ant: Susser, et	al.		
	Info	rmation Disclosure	Statement by	Applicant	Filedi	015 20 (5		
		(Use several shee	ets if necessary	y)	Filed:	918/03	Group:		
				U.S. Patent Docu	ments				
Init.		Document No.	Date	Name		Class	Subclass	Filing	Date
	A	5,204,897	4/20/93	Wyman		H04L 9	00	7/14/9)2
	В	5,452,431	9/19/95	Bournas		G06F 12	14	9/16/9)2
					· · ·				
	 							<u> </u>	
								-	
	-								
				- 					
	 							-	
	1-				·* ·- ·- ·				
								 	1
				Foreign Docum	nents	3 32			
									slation
Init.	_	Document No.	Date	Country		Class	Subclass	Yes	No
	С	WO 98/19237	5/7/98	PCT		G06F 9	46	Х	
								-	
	+					 			
								+	·
					·			-	
		Other Do	cuments (Inc	uding Author, Ti	tle. Date. Per	rtinent Pages.	etc.)		
	D	Gong, L. et al. "Go JavaDevelopment l	ing beyond the	e sandbox: an over	view of the n	ew security are	hitecture in the	е	
	E	Sun Microsystems,	Inc. "Java Ca	rd Runtime Enviro	nment (JCRE	2) 2.1 Specifica	tion – Draft 2"	12/14/9	8
	F	Islam, et al. "A Fle Papers, 'Online!',	xible Security	Model for Using I	nternet Conte	ent" IBM Thon	nas J. Watson F	Research	Center
	_	, , ,		P			-7		
Examin	ier					Date Consi	dered		
		tial if citation considermance and not consi							itation

Form P7 (Rev. 2-		U.S. Department of			Atty. D SUN-P	ocket No. 3709 CNT	Serial No	signe	d
	Patent and Trademark Office								
	Trefa	rmation Disclosure S	Statement her	Annlicant	Applica	ant: Susser et a	al.		
- 2	11110	i mation Disclosure s	Statement by F	applicant	Filed:	9 (8) 03 Grou	.p:		
		(Use several shee	ets if necessary)	<u> </u>				
			,	U.S. Patent Docume	nts			0.	
Init.		Document No.	Date	Name	1113	Class	Subclass	Filing	Date
	A	5,802,519	09/01/98	De Jong		707	100	02/08/	
	В	6,052,690	04/18/00	De Jong		707	101	08/27/	/98
	$\frac{1}{c}$	6,094,656	07/25/00	De Jong		707	100	08/02	/96
	+					 			
		 				.		 	
			- 	 					·
	-	-	 	 			<u> </u>		
				 		 			
				1	·		 	-	
	_	_							
	-								_,
	_	 							
							·		
				Foreign Documer	ıts				
						T a			slation
Init.		Document No.	Date	Country		Class	Subclass	Yes	No
							<u> </u>		
									
		Other Do	cuments (Incl	uding Author, Title	, Date, Pe	rtinent Pages,	etc.)		
							,		-
			 .						· · · · · · · · · · · · · · · · · · ·
		·				•	····		•
		<u> </u>				Data Carra	ida-ad		
Exami						Date Cons			
Exami	ner: Ini	tial if citation considermance and not consider	ered, whether or dered. Include	r not citation is in con	nformance	with MPEP 60	09; Draw line t	hrough ci t.	tation

Form PTO 1449 (Rev. 2-32) U.S. Department Patent and Trace	ent of Commerce			cket No. 709 CNT	Serial No. Unas	signe	d
Information Disclosu		nnlicant	Applicar	nt: Susser et a	1.		
	sheets if necessary)		Filed: 19	1/8/03 Grou	p:		
(OSC SCYCIAI							
Init. Document No.	Date	J.S. Patent Documer Name	ns	Class	Subclass	Filing	Date
A 5,446,901	09/29/95	Owicki et al.	·	395	700	06/30/	
B 5,884,316	03/16/99	Bernstein et al		707	103	11/19/	/96
C 5,930,363	07/27/99	Stanford et al.		380	24	03/17/	/95
D 6,094,656	07/25/00	De Jong	·· _ ·	707	100	08/02/	/96
E 6,182,158	01/30/01	Kougiouris et al.		709	328	08/19/	/97
F 6,212,633	004/03/01	Levy et al.		713	153	06/26	/98
1 0,212,000					 		
					 	 	
					-	+	
						 	
					 		_
					 	 -	_
		<u> </u>		1	<u> </u>	J	•
		Foreign Document	s				1
I is Desument No.	Date	Country		Class	Subclass	Yes	slation No
Init. Document No.	Date	Country		Class	Subclass	103	110
							
					 		<u> </u>
							ļ
					<u> </u>		
							
						_	
		<u> </u>		<u> </u>	1	J	<u> </u>
Other	Documents (Inclu	ding Author, Title, l	Date, Pert	inent Pages,	etc.)		
G Daniels, John et UK, pages 27-3	al., "Strategies For	r Sharing Objects In I	Distributed	Systems", JC	OP, Object De	signers l	Ltd.,
UN, pages 21-3							
Examiner				Date Consid	dered		
Examiner: Initial if citation cons	idered, whether or	not citation is in conf	ormance w	vith MPEP 60	9; Draw line th	rough cit	tation

Notice of References Cited

Application/Control No.
Sun-P3709CNT
Examiner
Unassigned

Applicant(s)/Patent Under Reexam Susser tal.

Art Unit

5 of 8

U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Class	ification 2
А	6,233,688	5/2001	Montenegro et al.	713	201
В	6,373,950	4/2002	Rowney	380	255
С					
D					
Ε					
F	·				
G					
н					
1					
J					
к					
L		r.		•	
М					

FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	cument Number Code Date MM-YYYY Country Name			
N					
0					
Р				·	
Q					
R				•	
s					
Т					

NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
υ	
٧	
w	
x	

¹ Dates in MM-YYYY format are publication dates.

² Classifications may be U.S. or foreign. ⁴

^{*} A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

Form PTC (Rev. 2-32		U.S. Department Patent and Tradem				ocket No. 1709 CNT	Serial No	ssigr	red
	Info	rmation Disclosure	Statement by A	Applicant	"	nt: Susser et	al.		
		(Use several she	ets if necessary)	Filed: q	18103 Gro	up:		
				U.S. Patent Docume	nts				
Init.		Document No.	Date	Name		Class	Subclass	Filing	Date
	Α	4,930,129	05/29/90	Takahira		371	40.4	03/11	/88
	В	5,057,997	10/15/91	Chang et al.		364	200	02/13	/89
	С	5,481,715	01/02/96	Hamilton et al.		395	700	12/15	/93
	D	5,894,550	04/13/99	Thiriet		395	185.07	01/16	/97
	E	5,912,453	06/15/99	Gungl et al.		235	492	09/25	/96
	F	6,173,391	01/09/01	Tabuchi et al.		712	200	02/18	/98
	G	6,220,510	04/24/01	Everett et al.		235	380	04/23	/98
	Н	6,292,874	09/18/01	Barnett	·	711	153	10/19	/99
	I	6,349,336	02/19/02	Sit et al.		709	227	02/15	/00
		·		Foreign Document	ts				
		1				1 -			slatio
Init.		Document No.	Date	Country		Class	Subclass	Yes	No
	J	98/37526	19.02.98	wo		G07F			ļ
	K	99/16030	18.09.98	wo	•	G07F			
							•		
							<u> </u>		1
	L			iding Author, Title, olication Smart Card"		inent Pages,	etc.)		
		http://hom	e.hkstar.com/~a	alanchan/papers/mult	iApplicatio				
	M	Cordonnier, et al., '	'The concept of	suspicion: a new sec /isdss97/papers/48.ht	urity mode	el for identific	cation mana	gement	in
	N			Range References",	ш, (1997)				
				action+View&VdkV	gwKev=%?	2E@2E%2Fc	lata%2F1 993%	<u>62F</u> , July	24,
	0	Press Release, July	25, 2002	offered first 16-bit				lopment	
	P	22, 1995	-	The Java Virtual Mad					
	Q	October 13, 1997		Language Subset and				<u>.</u>	Final,
	R			Programming Conce	•			997	
	S	"Sun Microsystems	Announces JA	VACARD API", Bu	siness Wire	<u> </u>			
Examiner						Date Cons			

Form PT				Atty. Docket No.	Scrini N	0.	
(Rev. 2-3	32) U.S. Department Patent and Traden			SUN-P3709	una	ssigne	d
				Applicant: Susser e			-
	information Disclosure	Statement by	Applicant	Filed:			
	(Use several she	ets if necessary	<u>') </u>	Filed: 9/8/03 Gr	oup:		
			U.S. Patent Documen	ite			
Init.	Document No.	Date	Name	Class	Subclass	1/iling	Date
	5,204,663	4/20/93	Lee	340	825.34	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-
	5,544,246	8/6/96	Mandelbaum et al.	380	23		
	5,649,118	7/15/97	Carlisle et al.	395	241		
							X-10-10-10-10-10-10-10-10-10-10-10-10-10-
			Foreign Documents				
Init	Decument	15	16				Intion
mit.	<u></u>			Class	Subclass	Yes	No
				G06K	19/00	YES	
				G06K	19/07	abstract	
	<u> </u>			G06F	12/14	abstract	
	4126213	02/93	DE				
	0190733	08/86	EP				1
	0466969	01/92	EP				-
	0666550	08/95	EP				-
	WO 87/07062	11/87	WO				-
	WO 94/10657	05/94	wo				
			-				-
			·				<u> </u>
	Other Doc	uments (Inclu	ding Author, Title, D	ate, Pertinent Pages	, etc.)		
	Use several sheets if necessary	······································				Charles	
							-
Examiner				Date Cons	idered		~
Examiner	: Initial if citation consider	ed, whether or	not citation is in confo	rmance with MPEP 60	09: Draw line th	hrough clin	tion
if not in c	onformance and not conside	ered. Include a	copy of this form with	the next communicat	ion to applicant	l.	

Inf rmation Disclosure Statement by Applicant (Use several sheets if necessary)	Form PTO 14 (Rev. 2-32)	U.S. Department Patent and Traden	of Commerce nark Office		SUN-37	ocket No. 109 CNT	Serial No.	signe	d· ,
Filed:	· T			Applicant	- 3.0		al.		
Init. Document No. Date Name Class Subclass Filing Date 6,233,683 5/15/01 Chan et al. G06F 1/24 3/24/98 6,308,317 10/23/01 Wilkinson et al. G06F 13/00 10/24/97	. 10			•	Filed:	718103	Group:		
Init. Document No. Date Name Class Subclass Filing Date 6,233,683 5/15/01 Chan et al. G06F 1/24 3/24/98 6,308,317 10/23/01 Wilkinson et al. G06F 13/00 10/24/97					ments				
6,233,683 5/15/01 Chan et al. G06F 1/24 3/24/98 6,308,317 10/23/01 Wilkinson et al. G06F 13/00 10/24/97	Init	Document No.				Class	Subclass	Filing	Date
6,308,317 10/23/01 Wilkinson et al. G06F 13/00 10/24/97			5/15/01	Chan et al.		G06F	1/24	3/24/9	8
Foreign Documents Document No. Date Country Class Subclass Yes Class Subclass Yes Class Subclass Yes Class Class			10/23/01	Wilkinson et al.		G06F	13/00	10/24/	97
Foreign Documents Document No. Date Country Class Subclass Yes				:.		<u></u>			
Foreign Documents Translate Document No. Date Country Class Subclass Yes Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)						<u> </u>		<u> </u>	
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)	-							<u> </u>	
Foreign Documents Translate Document No. Date Country Class Subclass Yes Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)					·			<u> </u>	
Foreign Documents Translate Document No. Date Country Class Subclass Yes Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)				·				_	
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)	· · · · · · · · · · · · · · · · · · ·	·						<u> </u>	
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Foreign Documents Translate Document No. Date Country Class Subclass Yes Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)								 	
Foreign Documents Transla Ind. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)					•				
Foreign Documents Transla Ind. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)	-								
Foreign Documents Transla Init. Document No. Date Country Class Subclass Yes I Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)					1				
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)								<u> </u>	
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)				<u> </u>					
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)				Foreign Docum	ents	<u></u> .		Tran	slation
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)		Document No.	Date	Country		Class	Subclass	Yes	No
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)	mit.								
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)									
		Other Do	cuments (Incl	uding Author, Tit	le, Date, Per	tinent Pages	, etc.)		
							-		
									
Date Considered				<u> </u>		Date Con	sidered		
Examiner	Examiner				·				
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation in conformance and not considered. Include a copy of this form with the next communication to applicant.	Examiner: I	nitial if citation consider	red, whether or	not citation is in c	conformance with the nex	with MPEP 6	509; Draw line the tion to applicant	hrough ci	tation